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# **REGULAR PAPER**



# The Gill-Oxygen Limitation Theory and size at maturity/ maximum size relationships for salmonid populations occupying flowing waters

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# Abstract

The slowing of growth as fish age has long been believed to be related to energy expenditure for maturation, and this rationalization has been used to explain why, across nearly all fish species, the relationship between size at first maturity (L<sub>m</sub>) and maximum ( $L_{max}$ ) or asymptotic length ( $L_{\infty}$ ) is relatively constant. In contrast, the Gill-Oxygen Limitation Theory (GOLT) postulates that (a) fish growth slows because as they grow, their two-dimensional ability to extract oxygen from the water diminishes relative to their three-dimensional weight gain, and (b) they can only invest energy for maturation if oxygen supply at their size at first maturity  $(Q_m)$  exceeds that needed for maintenance metabolism ( $Q_{\infty}$ ). It has been reported previously across dozens of marine fish species that the relationship between  $Q_m$  and  $Q_\infty$  is linear and, further, it can be mathematically converted to  $L_m$  vs.  $L_\infty$  by raising both terms to the power of D (the gill surface factor), resulting in a slope of 1.36. If the GOLT is universal, a similar slope should exist for  $L_m^D$  vs.  $L_{\infty}^D$  relationships for freshwater species across multiple individual populations that reside in disparate habitats, although to our knowledge this has never been evaluated. For analysis, we used existing data from previous studies conducted on 51 stream-dwelling populations of redband trout Oncorhynchus mykiss gairdneri, Yellowstone cutthroat trout O. clarkii bouvieri and mountain whitefish Prosopium williamsoni. The resulting  $L_m^D$  vs.  $L_{\infty}^D$  slopes combining all data points (1.35) or for all species considered separately (range = 1.29-1.40) were indeed equivalent to the slope originally produced for the marine species from which the GOLT-derived relationship was first reported. We briefly discuss select papers both supporting and resisting various aspects of the GOLT, note that it could potentially explain shrinking sizes of marine fish, and call for more concerted research efforts combining laboratory and field expertise in fish growth research.

#### KEYWORDS

asymptotic length, Gill-Oxygen Limitation Theory, GOLT, size at maturity

# 1 | INTRODUCTION

In ecology and genetics, phenotypic plasticity refers to the ability of a single genotype to express a variety of phenotypes across a variable

environment. Such expression results in varying patterns of movement, growth, survival and reproduction within a particular species. Fishes are arguably the most diverse group of vertebrates relative to their phenotypic plasticity (Iles, 1974). One such aspect of this Pauly, 1979).

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Most fish grow rapidly at a young age, with growth slowing continuously as fish approach their asymptotic length (von Bertalanffy, 1960). This slowing of growth as fish age has long been believed to be due to maturation (*e.g.*, Hubbs, 1926; Jones, 1976; Lagler *et al.*, 1977). This view of fish growth assumes that gonadal development utilizes much of the energy previously available for body growth, leaving less energy to be converted to growth once maturation commences. Iles (1974) dubbed this growth/maturity relationship the "reproductive drain" concept although he disagreed with it and presented study results to dismiss it as a viable explanation. Nonetheless, this hypothesis regarding growth cessation and maturation remains widely accepted (*e.g.*, Charnov, 2008; Quince, Abrams, *et al.*, 2008).

An alternate hypothesis, first put forth by Pauly (1984) and expanded upon by Pauly (2019a,b), derives from what belatedly has been called the Gill-Oxygen Limitation Theory (or GOLT). In short, the GOLT postulates that of the two primary requirements for growth – food and oxygen – the latter is much more difficult for fish to extract from their environment due to the dense medium in which they live and the reduced oxygen available to them relative to air-breathing vertebrates. The ability of fish to absorb oxygen through their gills increases as the surface of their gills increases, whereas the fish themselves increase in size volumetrically (von Bertalanffy, 1960). Since fish size increases in three dimensions while their gills increase in two dimensions, their ability to extract oxygen from the water diminishes as they grow larger, eventually reaching a point where oxygen supply is only sufficient to meet maintenance metabolism and growth ceases ( $L_{\infty}$ ).

Though not without controversy (Lefevre *et al.*, 2017; Marshall & White, 2019; Nilsson & Östlund-Nilsson, 2008), the GOLT proposes that length at first maturity ( $L_m$ ) occurs when it does because fish can invest energy into gonadal development only if oxygen supply at first maturity ( $Q_m$ ) is more than that needed for maintenance metabolism at  $L_{\infty}$  ( $Q_{\infty}$ ). In essence, whereas the "reproductive drain" argues that fish growth slows because they start to divert energy away from somatic growth, GOLT argues that somatic growth slows due to oxygen limitation, and that stimulates fish to start reproducing. Pauly (1984, 2019a) estimated that across 56 species of fish, the relationship between  $Q_m$  and  $Q_\infty$  is linear and has a slope of about 1.36. This  $Q_m$  vs.  $Q_\infty$  relationship can be converted to  $L_m$  vs.  $L_\infty$  by raising both terms to the power of *D* (Pauly, 1984), which is a parameter of the generalized von Bertalanffy Growth Function (VBGF) of Pauly (1979, 2019b):

$$L_t = L_{\infty} \left( 1 - e^{-KD(t-t_0)} \right)^{\frac{1}{D}}$$

where  $L_t$  is size at age t,  $L_{\infty}$  is the asymptotic length,  $t_0$  is the "age" at which length would be zero if the fish had always grown as predicted

by the formula and *D* is the gill surface factor. *D* is essentially the difference between the power of length in proportion to which weight increases and the power of length in proportion to which gill surface increases, and the resulting relationship produces the same slope of 1.36 as noted above (Pauly, 1979). In short, the GOLT postulates that growth slows when gill surface area and hence oxygen availability struggle to keep up with a volumetric body size increase, resulting in marked reduction in growth and subsequent maturation. It is Pauly's longstanding contention (1979, 2019b) that GOLT defines why fish populations grow and mature as they do in all environments and also why fish may shrink in size in the face of climate change (Pauly & Cheung, 2018).

If the GOLT is indeed correct, then the relationship between  $Q_m$  and  $Q_\infty$  (or  $L_m^D$  and  $L_\infty^D$ ), which was derived exclusively from data on marine species (Pauly, 1979), should also apply when regressing the same two variables for data derived for freshwater species, and across populations within the same species exhibiting different life history characteristics such as growth rate, size at first maturity and maximum size. To our knowledge, the latter has never been evaluated. The objective of this study was therefore to investigate whether the  $L_m^D$  vs.  $L_\infty^D$  slope across multiple populations within the same species would approximate 1.36 for four stream-dwelling salmonids in Idaho.

#### 2 | MATERIALS AND METHODS

In earlier research, wild salmonids from physically isolated Idaho stream populations were collected within 1-2 months of spawning so that maturity could be ascertained via necropsy (e.g., Downs et al., 1997). Sampling occurred in 11 populations of Yellowstone cutthroat trout Oncorhynchus clarkii bouvieri (Jordan and Gilbert, 1883) (Meyer et al., 2003), 19 populations of mountain whitefish Prosopium williamsoni (Girard 1856) (Meyer et al., 2009), 9 populations of desert redband trout O. mykiss gairdneri (Richardson 1836) (Schill et al., 2010) and 12 populations of montane redband trout (KAM, unpublished data; Meyer et al., 2014). For all species, males were classified as immature if testes were opague and threadlike, and mature if they were large and milky white, whereas females were classified as immature if the ovaries were small, granular and translucent, and mature if they contained well-developed eggs that filled much of the abdominal cavity. Along with maturity status, we recorded lengths (nearest mm TL) and weights (nearest g) of all fish captured. Logistic regression was used to relate fish length to immature (dummy variable of 0) or mature (dummy variable of 1) condition to estimate  $L_m$  for each individual population sampled for each species (see original papers for details). Physical characteristics and geographic locations of the streams from which these data were collected varied widely (Figure 1 and Table 1), which contributed to highly variable estimates of  $L_m$  as well as the maximum length of fish captured ( $L_{max}$ ) across the 51 populations.

To estimate the slope of the  $L_m^D$  vs.  $L_\infty^D$  relationship, it is irrelevant whether one uses  $L_{max}$  or  $L_\infty$  as the denominator because they are nearly identical (Froese & Binohlan, 2000; Pauly, 1979; Taylor, 1962). D, the gill surface factor described 46



**FIGURE 1** Location of streams in Idaho where length at first maturity and maximum length were obtained for populations of Yellowstone cutthroat trout *Oncorhynchus clarkii bouvieri* (YCT), mountain whitefish *Prosopium williamsoni* (MWF) and redband trout *O. mykiss gairdneri* in desert streams (dRBT) and montane streams (mRBT). (**•**) dRBT, (**•**) mRBT, (**△**) MWF, (**■**) YCT

above, is equal to  $b \times (1 - d)$  (Pauly, 2019a), with *b* being the exponent of the fish length-weight relationship, which was calculated separately for each of our populations (Carlander, 1969), and *d* being the exponent of the gill area-weight relationship, which for trout and most other fish species is about 0.8 (De Jager & Dekkers, 1974; Pauly, 1981; Bochdansky & Leggett, 2001). The slope (and 95% Cls) of the resulting  $L_m^D$  vs.  $L_{max}^D$  linear regression (with the intercept fitted through the origin) was estimated for each species separately, but we also fit a combined linear regression through all data points from all species combined. All analyses were conducted using the SAS statistical software package (SAS Institute, 2009).

### 3 | RESULTS

The exponent of the length-weight relationship (*b*) was close to 3.0 for all species (Table 1), indicating that growth was essentially isometric (*i. e.*, that growth occurred in all bodily dimensions at the same rate) for

the stream-dwelling salmonid populations we included in our study. The slopes of the  $L_m^D$  vs.  $L_\infty^D$  relationships were very similar for all species, with a slope (±95% CIs) of 1.31 (1.21–1.42) for desert populations of redband trout, 1.29 (1.22–1.36) for montane populations of redband trout, 1.25 (1.18–1.32) for Yellowstone cutthroat trout and 1.40 (1.36–1.45) for mountain whitefish (Table 1 and Figure 2). Combining all data points from all species produced an overall  $L_m^D$  vs.  $L_\infty^D$  slope of 1.35 (1.31–1.38). The individual slopes corresponded to a predicted size at first maturity occurring at 0.62 of the maximum size of fish captured for desert redband trout populations, 0.66 for montane redband trout populations, 0.70 for Yellowstone cutthroat trout populations and 0.59 for mountain whitefish populations.

### 4 | DISCUSSION

The ratio  $L_m/L_\infty$  has long been recognized to be a relatively constant ratio of about 0.6-0.7 for most fishes (Beverton & Holt, 1959; Iles, 1974; Jensen, 1996), and is one of the three life history ratios Charnov (1993) subsequently termed "invariants". Considering that  $L_{max}/L_{\infty}$  is often about 0.95 (Froese & Binohlan, 2000; Taylor, 1962) or higher (Pauly, 1979), our mean  $L_m/L_{max}$  ratio (0.67) concurs with this invariant. Reproduction has long been believed to channel energy away from fish growth (Charnov, 2008; Hubbs, 1926; Lagler et al., 1977; Quince, Abrams, et al., 2008). However, as Iles (1974) pointed out, if this  $L_m/L_\infty$  invariance was caused by the energetic demands of producing eggs or milt, a "reproductive drain" of available metabolic energy would result in a deceleration of growth at the time of maturation. While growth does decelerate as all fish age, this deceleration appears constant throughout nearly all of their life (Cushing, 1967; Larkin et al., 1957), including when they transition from immature to mature, with no inflexion point in the deceleration of growth at maturation size (Alm, 1959; Iles, 1974, but see Quince, Shuter, et al., 2008). Iles (1974) suggested that the relatively constant  $L_{\rm m}/L_{\infty}$  ratio is an ontogenetic, pre-recorded "growth programme tied to a fish's innate growth trajectory", whereas Jensen (1996) suggested that the ratio could be explained by maturation occurring when the reproductive output of a cohort is maximized, a suggestion similar to that of Charnov (1993). Pauly's GOLT theory explains the  $L_m/L_\infty$  constancy as a simple expression of an underlying geometric reality, the invariance of the ratio  $Q_m/Q_\infty$  (Pauly, 2019b).

Our results extend the application of the  $L_m^D$  vs.  $L_\infty^D$  relationship from single data points for each of a broad spectrum of marine fish species (Pauly, 1984, 2019a), to broadly distributed freshwater populations within the same species that occupy widely disparate lotic environments. Our results adhere to the same general  $L_m^D$  vs.  $L_\infty^D$ slope of 1.36 that was theorized by Pauly (1984) to be a direct consequence of the GOLT. Because the use of an  $L_m/L_\infty$  (or  $L_m/L_{max}$ ) ratio of 0.6–0.7 or an  $L_m^D$  vs.  $L_\infty^D$  slope of ~1.36 will predict essentially the same size at first maturity for any given population, we recognize that our findings, while compatible with the GOLT, do not "validate" it. Indeed, it has been well established that size at first maturity can vary greatly among populations of the same species (*e.g.*,

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	Desert redband trout	Montane redband trout	Mountain whitefish	Yellowstone cutthroat trout
Elevation (m)	942-1749	1001-1677	549-1985	1561-2091
Conductivity (µS/cm)	33-315	35-103	62-835	183-652
Summer (June–August) water temperature (°C)	14.2-18.2	NA <sup>a</sup>	8.1-21.0	10.4-16.1
Gradient (%)	1.3-3.8	1.5-5.0	<0.1-0.9	0.2-6.3
Stream width (m)	1.2-2.7	6.5-23.3	5.3-128	1.7-79.0
Number of populations	9	12	19	11
Number of fish	484	1155	1783	499
b (range among populations)	2.71-3.01	2.86-3.15	2.73-3.31	2.90-3.19
L <sub>m</sub> (mm; range among populations)	110-177	118-222	193-299	97-354
L <sub>max</sub> (mm; range among populations)	160-297	170-401	338-506	150-481
$L_{\rm m}^{D}/L_{\rm max}^{D}$ slope (±95% CIs)	1.31 (1.21-1.42)	1.29 (1.22-1.36)	1.40 (1.36-1.45)	1.25 (1.18-1.32)

**TABLE 1** Ranges in stream characteristics, length at first maturity ( $L_m$ ) and maximum length ( $L_{max}$ ) for several salmonids sampled in Idaho, as well as resulting  $L_m^D/L_{max}^D$  ratios

<sup>a</sup>No data available.



**FIGURE 2** Relationship between normalized length at first maturity  $(L_m^D)$  and normalized maximum length of fish sampled  $(L_{max}^D)$  from various populations of Yellowstone cutthroat trout *Oncorhynchus clarkii bouvieri*, mountain whitefish *Prosopium williamsoni*, and desert and montane populations of redband trout *O. mykiss gairdneri* in Idaho streams. The lines, slopes and statistics are least squares linear regressions fitted through the origin for each data set. (---) desert redband trout:  $y = 1.31 \times$ ;  $r^2 = 0.86$ ; P < 0.001, (---) montane redband trout:  $y = 1.29 \times$ ;  $r^2 = 0.90$ ; P < 0.001, (---) Yellowstone cutthroat trout:  $y = 1.25 \times$ ;  $r^2 = 0.89$ ; P < 0.001, (---) mountain whitefish:  $y = 1.40 \times$ ;  $r^2 = 0.94$ ; P < 0.001

Beamish, 1973; Jonsson *et al.*, 2001; Meyer *et al.*, 2003, 2009, 2014; Schill *et al.*, 2010; Trip *et al.*, 2014). However, the strong  $L_m^D$  vs.  $L_\infty^D$  relationship originally derived for marine species and incorporating the gill surface factor *D* (recalling that  $D = b \times (1 - d)$ ), comprises Pauly's main argument that the GOLT is directly supported by the basic geometry of fish in relation to their low-oxygen environment relative to air breathers (Pauly, 2019b). The fact that our overall slope (1.35) was virtually identical to that of Pauly's 1.36 value for marine species, and that the species-specific slopes of the  $L_m^D$  vs.  $L_\infty^D$  relationships obtained for several freshwater fish species across multiple populations were also quite similar to the relationship first developed for marine fish, would seem to provide at least circumstantial support for the GOLT.

Literature dealing with the GOLT is rapidly increasing, with arguments both supporting and refuting its foundations. The main underpinning of the theory - the disparity in growth between gill surface area and body mass - has long been acknowledged in the literature, beginning with von Bertalanffy, who believed that, in fish, respiration limits anabolism and thus maximal growth in fishes (von Bertalanffy, 1949, 1960). The value of the exponent d has also been well documented empirically (e.g., De Jager & Dekkers, 1974; Muir & Hughes, 1969; Palzenberger & Pohla, 1992) and most recently via meta-analysis (Bochdansky & Leggett, 2001). In addition, several manipulative studies provide support for the GOLT. For example, oxygen concentration (i.e., dissolved oxygen, DO) was clearly shown to positively affect both food conversion and growth rate in juvenile largemouth bass Micropterus salmoides fed unlimited amounts of food until ambient DO levels exceeded those observed in nature, whereupon both metrics markedly declined (Stewart et al., 1967). More recently, in a manipulative test directly evaluating the GOLT, Kolding et al. (2008) concluded that the observed differences in size at maturity of Nile tilapia Oreochromis niloticus reared in high, medium and low oxygen, along with several corollary observations, supported the theory.

Nonetheless, acceptance of the GOLT has not been universal. The primary critique centres on the argument that large fish are no more oxygen limited than smaller fish because organs such as fish gills evolve to provide the capacity necessary to meet an organism's requirements (*e.g.*, Marshall & White, 2019). Lefevre *et al.* (2017, 2018) argued that the scaling of surface area to volume in gills is simply not constrained by geometry due to various specializations, including the folding of gill structures. Other physiologists have noted that many fish species have developed special adaptations to hypoxia,

such as haemoglobin with exceptionally high oxygen affinities, and have argued that larger fish have clear advantage over smaller ones during severe hypoxia (Nilsson & Östlund-Nilsson, 2008).

In recent years, debate has increased on whether the GOLT explains the shrinking size of fish that is becoming apparent across the globe and that has been linked to climate change (Daufresne et al., 2009). Using anadromous salmonids as an example, a spate of recent papers have documented substantial reductions in length at ocean age and a concomitant downward shift in age at maturity for numerous Chinook salmon O. tshawytscha populations (Kendall & Quinn, 2011; Lewis et al., 2015; McPhee et al., 2016; Ohlberger et al., 2018; Siegel et al., 2017), with little evidence that selective harvest or excessive hatchery stocking was the cause (Lewis et al., 2015; Ohlberger et al., 2018). Two of these studies documented increased mean length in the youngest ocean age groups while mean sizes in older age groups declined across multidecadal scales (Ohlberger et al., 2018; Siegel et al., 2017). A similar "shrinking" phenomenon has also been reported in populations of Atlantic salmon Salmo salar (Todd et al., 2008), steelhead Oncorhynchus mykiss (Bowersox et al., 2019) and most recently for all five species of Alaska salmon (Oke et al., 2020). Despite the fact that these temporal life history changes could be explained by ocean warming and the GOLT (Cheung et al., 2013; Pauly & Cheung, 2018), it was only mentioned in a single one of the studies as a possible explanation. As noted by Pauly and Cheung (2018), an alternative hypothesis to the GOLT that offers a more parsimonious explanation for such fish "shrinking", as well as a broad range of other phenomena related to fish growth, has yet to be advanced.

In strongly questioning the validity of the GOLT to explain "shrinking" marine fish as the climate warms, Lefevre et al. (2017) nevertheless concede that some fish will possibly become smaller in the future, and call for improved communication and congruity between fisheries scientists and fish physiologists. Indeed, as the climate continues to warm over the 21st century, understanding the cause and effect of shrinking marine ectotherms is of paramount importance. More comprehensive research is clearly needed, combining the expertise of geneticists, modellers, ecologists, physiologists and field scientists in an effort to better integrate theory and physiology with observed field and laboratory data (Audzijonyte et al., 2019). Indeed, as nonphysiologists, our ability to navigate the vast and dense literature with radically opposing points of views and study conclusions regarding the intricacies of fish growth is rather limited, particularly as it seems hopelessly conflicted. As von Bertalanffy (1960) suggested, we believe a judicious amount of intellectual humility and good humour, and lack of dogmatism will go a long way in facilitating the debate regarding any theory, in this case about gills, oxygen, growth and maturation in fish.

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### AUTHOR CONTRIBUTIONS

K.A.M. and D.J.S. each contributed equally to ideas, data collection, statistical analyses and interpretation, and manuscript preparation and revision.

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